Internal Audit Plan - October 22 to March 23	QTR	SRBC	Detailed Rationale	Links to Risk Registers (strategic & operational) / Corporate Plan Projects
CORPORATE				
Anti-Fraud & Corruption	ALL	1	Review and update of probity policies	Annual Governance Statement
National Fraud Initiative	3	5	Submission of data for the 2022 exercise	Annual Governance Statement
COVID - Grant assurance	ALL	6.5	Continued support with grants / BEIS liaison	Annual Governance Statement
CUSTOMER AND DIGITAL				
Customer Services				
Revenues and Benefit project support	ALL	2.5	Proactive support to assist with the aligning of processes.	Business plans / transformation
ICT				
Vulnerabilities Management	4	15	Risk based review	Operational
ICT Support	3	10	Proactive support	Operational
Neighbourhoods				
Waste Services	3	15	Risk based review of in-house service, including commercial waste	Operational
Water and open spaces management	4	15	Risk based review	Operational
PLANNING & DEVELOPMENT				
Community Infrastructure Levy	3	15	Deferred from 21/22 – risk-based review	Operational

Internal Audit Plan - October 22 to March 23	QTR	SRBC	Detailed Rationale	Links to Risk Registers (strategic & operational) / Corporate Plan Projects
COMMERCIAL & ASSETS				
Project support	ALL	1.5	Proactive support	Corporate Plan projects
COMMUNITIES				
Boost / Boost plus / Leisure Local Grants	4	10	Compliance review.	Corporate plan project
DELIVERY AND CHANGE				
Key Strategic Contract and Partnerships	4	10	Compliance review.	Strategic Risk Register
Payroll	4	15	Risk based review. New system operational	Operational
Business Grants	3&4	15	Proactive support with the development of grant process / Compliance review	Corporate Plan
GOVERNANCE				
General Data Protection Regulations	4	10	Risk based review. In depth review on data retention.	Operational / Strategic Risk Register
SHARED FINANCIAL SERVICES				
External funding	4	10	Compliance with grant conditions.	Operational / Strategic Risk Register
GENERAL				
Post Audit Reviews	ALL	2.5	Follow up of agreed actions	
Contingency / Irregularities	ALL	2.5	Unplanned reviews / contingency	
PSIAS - PEER REVIEW	ALL	2.5	Peer Review process	
Internal Audit Effectiveness review	4	3	IA Effectiveness review	
Committee Reporting / Effectiveness Review	All	5	Preparation of reports and attendance at Audit & Standards Committee	
TOTALS		**172**		